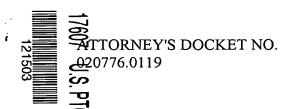
(1 page);



1

## TRANSMITTAL FOR U.S. PATENT APPLICATION UNDER 37 CFR §1.53(b)

Exp. Mail Cert. EV 323453775 US



Mail Stop Patent Application Commissioner of Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the original patent application of:

Inventor:

Enclosed are:

Kalman A. Lifson

For:

STOCK PORTFOLIO AND METHOD

<u>X</u>	Specification, Claims and Abstract (39 pages);
_X	Patent application filing fee in the amount of \$430.00;
<u>X</u>	Drawing(s) 2 Total Sheet(s) of X Formal Informal
<u>X</u>	Combined Declaration and Power of Attorney (3 pages)  X Newly executed (original or copy);
<u>X</u>	Verified Statement (Declaration) Claiming Small Entity Status

X Information Disclosure Statement (2 pages);X PTO Form 1449 (1 page with 2 references);

X Certificate of Mailing by Express Mail (1 Page);

X Baker Botts L.L.P. acknowledgment return receipt postcard (1 card); and

X This Transmittal (2 pages).

2

Applicant is:		
Large En	tity	
X Small En	tity	
	•	
The accompanyir	ng application is:	
X Original.		
	tion Divisional	Continuation-In-Part (CIP) of prior application No.
		v incorporated by reference therein.

	FEE				
	Number		Number Extra	Rate	Basic Fee \$ 385.00
Total Claims	25	20	5	X \$ 9.00 =	\$ 45.00
Independent Claims	3	3	0	X \$43.00 =	\$ 0.00
	\$ 430.00				

Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P. This document is being transmitted in duplicate.

Respectfully submitted,

BAKER BOTTS L.L.P. Attorneys for Applicant

David G. Wille Reg. No. 38,363

Date: December 15, 2003

## **CORRESPONDENCE ADDRESS:**

2001 Ross Avenue, 6th Floor Dallas, Texas 75201-2980 214.953.6595 214.661.4595 - Fax 05073

Patent Trademark Office

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kalman A. Lifson

Filing Date:

December 15, 2003

Title:

STOCK PORTFOLIO AND METHOD

MAIL STOP PATENT APPLICATION

Assistant Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

## CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Transmittal Form (2 pages), Patent Application (39 pages), Formal Drawings (2 pages), combined Declaration and Power of Attorney (3 pages), Verified Statement (Declaration) Claiming Small Entity Status (1 page), Information Disclosure Statement (2 pages), PTO Form 1449 (1 page with 2 references), a Baker Botts L.L.P. acknowledgment postcard (1), check in the amount of \$430.00, and this Certificate of Mailing by Express Mail are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 15<sup>th</sup> day of December 2003 and addressed to the Commissioner for Patents, P. O. Box 1450 Alexandria, VA 22313-1450.

Willie Tiles
Willie Jiles

Express Mail Receipt No. EV 323453775 US